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APPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/642,502	02 08/18/2003		Takashi Hama	Q76035	1716
23373	7590	10/21/2004		EXAM	INER
SUGHRUE			CHEN, SOPHIA S		
2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037				ART UNIT	PAPER NUMBER
				2852	
				DATE MAILED: 10/21/200-	4

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
	10/642,502	HAMA, TAKASHI
Office Action Summary	Examiner	Art Unit
·	Sophia S. Chen	2852
The MAILING DATE of this communication Period for Reply	appears on the cover sheet w	vith the correspondence address
A SHORTENED STATUTORY PERIOD FOR RETHE MAILING DATE OF THIS COMMUNICATION  - Extensions of time may be available under the provisions of 37 CF after SIX (6) MONTHS from the mailing date of this communication  - If the period for reply specified above is less than thirty (30) days, If NO period for reply is specified above, the maximum statutory properties to reply within the set or extended period for reply will, by some Any reply received by the Office later than three months after the rearned patent term adjustment. See 37 CFR 1.704(b).	ON. FR 1.136(a). In no event, however, may a n. a reply within the statutory minimum of thi eriod will apply and will expire SIX (6) MO statute, cause the application to become A	reply be timely filed  rty (30) days will be considered timely.  NTHS from the mailing date of this communication.  BANDONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on _	·	
2a) ☐ This action is <b>FINAL</b> . 2b) ☒	This action is non-final.	
3) Since this application is in condition for alled closed in accordance with the practice under the condition of the cond		•
Disposition of Claims		
4) ☐ Claim(s) 1-10 is/are pending in the applica 4a) Of the above claim(s) is/are with 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-10 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and	ndrawn from consideration.	
Application Papers		
9)⊠ The specification is objected to by the Exar		
10)⊠ The drawing(s) filed on 18 August 2003 is/a		
Applicant may not request that any objection to	·	
Replacement drawing sheet(s) including the co		•
Priority under 35 U.S.C. § 119		
a) All b) Some * c) None of:  1. Certified copies of the priority docum 2. Certified copies of the priority docum 3. Copies of the certified copies of the application from the International Bu * See the attached detailed Office action for a	nents have been received. nents have been received in A priority documents have beer reau (PCT Rule 17.2(a)).	Application No  n received in this National Stage
Attachment(s)		
Notice of References Cited (PTO-892)	4) Interview	Summary (PTO-413)
<ul> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948</li> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SE Paper No(s)/Mail Date 8/18/03, 5/3/04, 5/13/04</li> </ul>	) Paper Not 3/08) 5) Notice of 6) Other:	(s)/Mail Date Informal Patent Application (PTO-152)

#### **DETAILED ACTION**

## **Drawings**

1. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they include the following reference character(s) not mentioned in the description: Ld (Figure 3A) and c (Figure 7). Corrected drawing sheets in compliance with 37 CFR 1.121(d), or amendment to the specification to add the reference character(s) in the description in compliance with 37 CFR 1.121(b) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

The drawings are objected to as failing to comply with 37 CFR 1.84(p)(4) because of the following informalities:

a. Reference character "a" has been used to designate both "a Gaussian curve" (page 16, line 11 and Figure 4) and "a curve representing the tone characteristics of the apparatus" (page 22, lines 2-3 and Figure 7).

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2. b. Reference character "b" has been used to designate both "a line" (page 16, lines 14-15 and Figure 4) and "an ideal tone characteristic curve" (page 22, lines 5-6 and Figure 7).

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Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

## Specification

- 3. The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.
- 4. The abstract of the disclosure is objected to because "(Fig.3A)" (page 39, last line) should be deleted. Correction is required. See MPEP § 608.01(b).
- 5. The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

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# Claim Rejections – 35 U.S.C. §102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

7. Claims 5 and 6 are rejected under 35 U.S.C. 102(b) as being anticipated by Mestha (US Pat. No. 5,543,896, cited in Form PTO-1449).

The patent discloses an image forming apparatus comprising: an image carrier 10 constructed to bear a toner image thereon (column 3, lines 1-3); density detection means (densitometer) 24 constructed to detect a density of an image in a predetermined detection region on the image carrier 10 and operative to detect a toner image density of the toner image borne on the image carrier 10 (column 3, lines 57-60 and Figure 1); a toner image having tone levels monotonously and continuously increased or decreased along a predetermined direction is formed as a gradation patch image which is subjected to density detection by the density detection means 24, and tone correction information is defined based on the detection results and then used for tone correction of an input image signal thereby to obtain a tone-corrected image signal, based on which an image is formed (column 2, lines 30-38; column 4, lines 4-16; Figures 2 and 3); and the gradation patch image is monotonously and consistently increased or decreased in the tone level along the predetermined direction (column 2, lines 30-38; column 4, lines 4-16; Figures 2 and 3).

8. Claim 10 is rejected under 35 U.S.C. 102(b) as being anticipated by Mestha.

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The patent discloses an image forming method for forming an image based on a tone-corrected image signal obtained by tone-correcting an input image signal based on tone correction information, wherein a gradation patch image progressively increased or decreased in the tone level along a predetermined direction is formed and subject to density detection means 24 for detection of a tone image density thereof, and then the tone correction information is defined based on the detection results (column 2, lines 30-38; column 4, lines 4-16; Figures 2 and 3), and wherein the gradation patch image comprises either an image monotonously and continuously increased or decreased in the tone level along the predetermined direction or an image having the tone levels increased or decreased stepwise along the predetermined direction and at a smaller pitch than a width of a detection region of the density detection means 24 (column 2, lines 30-38; column 4, lines 4-16 and 32-34, column 4, line 56 to column 5, line 5; Figures 2 and 3).

# Claim Rejections - 35 U.S.C. §103

- 9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 10. Claims 1-3 and 7-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mestha in view of Ernst (US Pat. No. 4,377,338).

Mestha discloses an image forming apparatus comprising: an image carrier 10 constructed to bear a toner image thereon (column 3, lines 1-3); density detection means (densitometer) 24 constructed to detect a density of an image in a predetermined detection region on the image carrier 10 and operative to detect a toner image density of the toner image borne on the image carrier 10 (column 3, lines 57-60 and Figure 1); a toner image having tone levels progressively increased or decreased along a predetermined direction is formed as a gradation patch image which is subjected to density detection by the density detection means 24, and tone correction information is defined based on the detection results and then used for tone correction of an input image signal thereby to obtain a tone-corrected image signal, based on which an image is formed (column 2, lines 30-38; column 4, lines 4-16; Figures 2 and 3); and the gradation patch image has the tone levels thereof increased or decreased continuously at a smaller test pattern (0.6 inches / 256 = 0.058 mm; column 4, lines 4-7 and column 5, lines 3-5) than a width of the detection region (a few millimeters; column 4, lines 32-34) with respect to the predetermined direction; the gradation patch image comprises a plurality of monotone toner images differs from one another in the tone levels and continuously arranged along the predetermined direction, and wherein the monotone toner image has a smaller width than that of the detection region with respect to the predetermined direction (column 4, lines 4-16 and 32-34; column 5, lines 3-5; and Figures 2 and 3); the plural monotone images each has the same width with respect to the predetermined direction and has a constant tone level difference from a respective adjacent monotone toner image thereto (column 4, lines 4-16 and 32-34; column 5,

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lines 3-5; and Figures 2 and 3); the density detection means 24 performs the density detection on a plurality of positions in the gradation patch image, the positions shifted from each other along the predetermined direction (column 4, lines 4-14); and the detection regions individually corresponding to any pair of adjoining ones of the plural positions have at least a respective part thereof in contacting relation or in overlapping relation (column 4, lines 4-16 and 32-34; column 5, lines 3-5; and Figures 2 and 3).

Mestha differs from the instant claimed invention in not disclosing the gradation patch image has the tone levels thereof increased or decreased stepwise, and the maximum tone level of the gradation patch image is the maximum practicable tone level for the apparatus whereas the minimum tone level of the gradation patch image is the minimum practicable tone level for the apparatus.

Ernst discloses an image forming apparatus comprising an image carrier 121; density detection means 106; and a gradation patch image has tone levels thereof increased stepwise (Figure 2); and the maximum tone level (black) of the gradation patch image is the maximum practicable tone level for the apparatus whereas the minimum tone level (white) of the gradation patch image is the minimum practicable tone level for the apparatus (column 4, line 67 to column 5, line 1 and Figure 2).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to apply the stepwise increased tone levels and the maximum/minimum tone levels as taught by Ernst to the gradation patch image of Mestha to perform the same functionality for monitoring the level of toner in the

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developer (Ernst; column 1, lines 20-22), and to cover all possible tone levels (from all-black to all-white) for comparison.

11. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Mestha in view of Ernst as applied to claim 3 above, and further in view of *Peterson*, 315 F.3d at 1330, 65 USPQ2d at 1382 and *In re Hoeschele*, 406 F.2d 1403, 160 USPQ 809 (CCPA 1969).

Mestha in view of Ernst, as discussed above, differs from the instant claimed invention in not disclosing the difference of tone level between any pair of adjoining ones of the plural monotone toner images is the minimum practicable level difference for the apparatus.

Peterson discloses "The normal desire of scientists or artisans to improve upon what is already generally known provides the motivation to determine where in a disclosed set of percentage ranges is the optimum combination of percentages."

In re Hoeschele discloses "--- which fell within the broad scope of the references were held to be unpatentable thereover because, among other reasons, there was no evidence of the criticality of the claimed ranges of molecular weight or molar proportions."

It would have been obvious to one of ordinary skill in the art at the time the invention was made to apply the optimization of range as taught by both *Peterson* and *In re Hoeschele* to the difference of tone levels of Mestha in view of Ernst because it only involves routine experimentation to discover the optimum or workable ranges.

### Other Prior Art

12. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Hattori et al. (US Pat. No. 5,274,424) discloses an image forming apparatus comprising an image carrier; density detection means; and a tone image having tone levels increased stepwise.

Mamizuka (US Pat. No. 6,061,144) discloses an image forming apparatus comprising an image carrier; density detection means; and a tone image having tone levels increased stepwise.

#### Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sophia S. Chen whose telephone number is (703) 308-7617. The examiner can normally be reached on M-F (7:00-3:00) First Friday Off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Arthur Grimley can be reached on (703) 308-1373. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Sophia S. Chen Primary Examiner Art Unit 2852

Ssc

October 18, 2004